



PATENT
Customer No. 22,852
Attorney Docket No. 7744.0061

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Michael A. EKHAUS et al.)
Application No.: 09/887,528) Group Art Unit: 2163
Filed: June 25, 2001) Examiner: Unknown
For: METHOD AND SYSTEM FOR)
HIGH PERFORMANCE MODEL-)
BASED PERSONALIZATION)

Commissioner for Patents and Trademarks
Washington, DC 20231

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JAN 14 2002

Technology Center 2100

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 8, 2002

By: 
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INFORMATION DISCLOSURE CITATION
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Applicant Michael A. ECKHAUS et al.				JAN 14 2002		
Filing Date June 25, 2001		Group 2163		Technology Center 2100		
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,893,909	4/13/1999	Nomura et al.	707	5	8/18/1997
	6,006,225	12/21/1999	Bowman et al.	707	5	9/1/1998
	6,016,475	1/18/2000	Miller et al.	705	1	10/8/1996
	6,018,738	1/25/2000	Breese et al.	707	100	1/22/1998
FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
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	G. Salton et al., "Term-Weighting Approaches in Automatic Text Retrieval," Information Processing & Management, Vol. 24, No. 5, pp. 513-523 (1988).						
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U.S. PATENT DOCUMENTS			
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FOREIGN PATENT DOCUMENTS			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	W. Hill et al., "Recommending and Evaluating Choices in a Virtual Community of Use," Proceedings of the Computer-Human Interaction Conference, CHI '95 <http://www.acm.org/sigchi/chi95/proceedings/papers/wch_bdy.htm> (1995).		
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	H. Lieberman, "Autonomous Interface Agents," Proceedings of the Computer-Human Interaction Conference, CHI '97, pp. 67-74 (March 1997).					
	R. Ferber, "IMAGINE: Interaction merger for associations gained by inspection of numerous exemplars" < http://teefix.fernuni-hagen.de/~ferber/imagine/imagine-info-engl.html > (4 pages-dated Dec. 15, 1997; printed Jan. 3, 2002).					
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